

6/2/05

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Gerhard GUMPOLTSBERGER
Serial no.	:	10/520,749
Filed	:	with an effective filing date of July 7, 2003
For	:	MULTI-STEP TRANSMISSION
Group Art Unit	:	
Examiner	:	
Docket	:	ZAHFRI P712US

The Commissioner for Patents
U.S. Patent & Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with this matter, the Applicant hereby attaches United States Patent Office Form PTO/SB/08a and copies of the information listed in the enclosed PTO/SB/08a form, unless otherwise indicated on such Form.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,


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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	Complete if Known	
	Application Number	10/520,749
	Filing Date	with an effective filing date of July 7, 2003
	First Named Inventor	Gerhard GUMPOLTSBERGER
	Art Unit	
	Examiner Name	
Sheet 1 of 1	Attorney Docket Number	ZAHFRI P712US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ²	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-4,395,925	08/02/1983	GAUS	Equiv. to DE-029 36 969
	2	US-5,455,767	10/03/1995	STAERKER	Equiv. to EP-0 531 567
	3	US-5,514,050	05/07/1996	BÄUERLE et al.	Equiv. to DE-43 30 391
	4	US-5,913,746	06/22/1999	BÄUERLE	Equiv. to DE-196 10 950
	5	US-2003/0054917	03/20/2003	RAGHAVAN et al.	
	6	US-2003/0203784	10/30/2003	USORO et al.	
	7	US-			
	8	US-			
	9	US-			
	10	US-			
	11	US-			
	12	US-			
	13	US-			
	14	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ No. ⁴ Kind Code ⁵	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	1	JP-2000234664	08/29/2000	OKUBA	Abstract	✓
	2	DE-102 31 350 A1	01/29/2004	GUMPOLTSBERGER	English Translation Attached	
	3	EP-1 411 269 A2	04/21/2004	BUCKNOR et al.		
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Examiner Signature		Date Considered	
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1/10/05

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The concise explanation, concerning the now submitted foreign language documents, is found either on pages 1 and 2 of the specification of the present application or on the submitted International or German Novelty Search Reports (see MPEP § 609) issued with respect to corresponding German application, namely, Serial No. 102 31 414.4 and 102 31 413.6. The search report of one (1) other related German application is also enclosed disclosing the relevance of the art cited in that search report. Also enclosed, please find an English translation of the concise explanation, i.e., the category of relevance as asserted by the **German** searching authority, concerning the relevance of each foreign language document.

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		Art Unit			
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	1	US-5,106,352	04/21/1992	LEPELLETIER	Equiv to EP-0 434 525
	2	US-5,295,924	03/22/1994	BEIM	
	3	US-5,342,257	08/30/1994	HOTTA et al.	Equiv. to DE-691 16 480
	4	US-5,435,792	07/25/1995	JUSTICE et al.	
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	6	US-6,083,135	07/04/2000	BALDWIN et al.	
	7	US-6,139,463	10/31/2000	KASUYA et al.	
	8	US-6,217,474 B1	04/17/2001	ROSS et al.	Equiv. to DE-100 43 751
	9	US-6,425,841 B1	07/30/2002	HAKA	
	10	US-2003/0083174 A1	05/01/2003	TABATA et al.	Equiv. to DE-102 50 374
	11	US-6,558,287 B2	05/06/2003	HAYABUCHI et al.	Equiv. to DE-102 13 820
	12	US-6,572,507 B1	06/03/2003	KORKMAZ et al.	Equiv. to DE-199 12 480
	13	US-6,634,980 B1	10/21/2003	ZIEMER	Equiv. to DE-199 49 507
	14	US-6,723,018 B2	04/20/2004	HAYABUCHI et al.	Equiv. to DE-102 00 379
	15	US-2004/0097324 A1	05/20/2004	ZIEMER	Equiv. to WO-02/079670
	16	US-2004/0116238 A1	06/17/2004	ZIEMER	Equiv. to WO-02/079671
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Examiner Name						
Sheet 2 of 3		Attorney Docket Number		ZAHFRI P712US		
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ No. ⁴ Kind Code ⁵	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	1	GB-1 522 616	08/27/1978	MILLER		
	2	EP-0 434 525 A1	06/26/1991	LEPELLETIER	Equiv. to US-5,106,352	✓
	3	JP-04119245 A	04/20/1992	SUGANO	Abstract	
	4	EP-0 378 900 B1	06/23/1993	ASADA	Equiv. to DE-689 07 338	✓
	5	DE-689 07 338 T2	11/25/1993	ASADA	Equiv to EP-0 378 900	✓
	6	DE-691 16 480 T2	06/13/1996	HOTTA et al.	Equiv. to US-5,342,257	✓
	7	DE-199 12 480 A1	09/28/2000	KORKMAZ et al.	Equiv. to US-6,572,507	
	8	JP-2000291747 A	10/20/2000	TAKAGI et al.	Abstract	
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	10	DE-199 49 507 A1	04/19/2001	ZIEMER	Equiv. To US-6,634,980	
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	18	WO 03/095865 A1	11/20/2003	ZIEMER et al.	Abstract	
Examiner Signature				Date Considered		

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/520749
		Filing Date	
		First Named Inventor	Gerhard GUMPOLTSBERGER
		Art Unit	
		Examiner Name	
Sheet 3 of 3	Attorney Docket Number		ZAHFRI P712US
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	TENBERGE, Prof. Dr. P., "E-Automat Automatikgetriebe mit Esprit", VDI-BERICHTE, Nr. 1610, VDI Verlag GmbH-Düsseldorf, GERMANY, June 20, 2001, pp. 455-479.	
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Examiner Signatures			Date Considered